

**24 APR 1992**

For Six Month Period Ending \_\_\_\_\_

(Insert date)

Name of Registrant

Registration No. 948

H. William Tanaka  
d/b/a Tanaka Ritger & Middleton  
Business Address of Registrant

655 15th Street, N.W., #800  
Washington, D.C. 20005

New Address

I-REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input type="checkbox"/>

2. Explain fully all changes, if any, indicated in item 1.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☐

If yes, furnish the following information:

Name

Position

Date Connection  
Ended

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4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?  
 Yes ☐ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?  
 Yes ☐ No ☐

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
Mary Lynn	Legal Assistant	2/5/92
Thomas Mugavero	Attorney	2/14/92

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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## II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes

No ☒

If yes, furnish the following information:

*Name of foreign principal*

*Date of Termination*

9. Have you acquired any new foreign principal<sup>1</sup> during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish following information:

*Name and address of foreign principal*

*Date acquired*

10. In addition to those named in Items 8 and 9, if any, list the foreign principals<sup>1</sup> whom you continued to represent during the 6 month reporting period.

SEE APPENDIX A

## III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

SEE APPENDIX A

<sup>1</sup>The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 206.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>2</sup> as defined below?

Yes ☐No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

<sup>2</sup>The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or of which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV—FINANCIAL INFORMATION

## 14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>3</sup>

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
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SEE APPENDIX B

Total

## (b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>4</sup> other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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<sup>3</sup>A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

<sup>4</sup>Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

## 15. (a) DISBURSEMENTS—MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
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SEE APPENDIX C

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Total

## 15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value<sup>5</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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## (c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>5</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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## V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

<sup>5</sup>Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts    ☐ Magazine or newspaper articles    ☐ Motion picture films    ☐ Letters or telegrams  
☐ Advertising campaigns    ☐ Press releases    ☐ Pamphlets or other publications    ☐ Lectures or speeches

☐ Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☐ Public Officials    ☐ Newspapers    ☐ Libraries  
☐ Legislators    ☐ Editors    ☐ Educational institutions  
☐ Government agencies    ☐ Civic groups or associations    ☐ Nationality groups  
☐ Other (specify) \_\_\_\_\_

21. What language was used in this political propaganda:

☐ English    ☐ Other (specify) \_\_\_\_\_

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☐ No ☐

## VI—EXHIBITS AND ATTACHMENTS

### 25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A<sup>6</sup>    Yes ☐    No ☐  
 Exhibit B<sup>7</sup>    Yes ☐    No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>6</sup>The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

<sup>7</sup>The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

## 26. EXHIBIT C

If you have previously filed an Exhibit C<sup>8</sup>, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☐

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

## 27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

(Type or print name under each signature)

  
H. William Tanaka

Subscribed and sworn to before me at District of Columbia

this 15 day of May, 19 92

  
(Signature of notary or other officer)  
Jacqueline A. Bertling

<sup>8</sup>The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

UNITED STATES DEPARTMENT OF JUSTICE  
REGISTRATION UNIT  
CRIMINAL DIVISION  
WASHINGTON, D.C. 20530

**NOTICE**

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form CRM-154, formerly Form OBD-64 - Supplemental Statement):

Yes \_\_\_\_\_ or No X \_\_\_\_\_

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes \_\_\_\_\_ or No X \_\_\_\_\_

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

  
Signature

May 15, 1992

Date

H. William Tanaka

Please type or print name of  
signatory on the line above

Attorney

Title

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Electronic Industries Association of Japan (EIAJ):

Registrant and/or staff reported on information and data on semiconductor and consumer electronic trade; monitored legislation, congressional hearings, industry conferences and federal government actions on matters of interest to the client. Also rendered miscellaneous legal advice.

Embassy of Japan:

Registrant and/or staff provided general legal and informational services re bilateral trade-related problems involving Japan and Europe, as well as analysis on the domestic political climate. Reported on congressional and administrative policy development.

The Fasteners Institute of Japan (FIJ):

Registrant and/or staff provided general legal advisory and informational services and monitored regulations concerning fasteners on safety and legal requirements.

Japan Automobile Manufacturers Association (JAMA):

Registrant and/or staff furnished summaries of political, environmental, legislative, industrial and legal developments, both here in Washington and elsewhere in the U.S. of interest to the client and its members from a review of trade publications and monitoring of congressional hearings and industry conferences on matters relating to automobiles, trucks, motorcycles and auto parts and trade regulations in general, including clean air and other pollution and alternative fuel legislation. Apprised client of ongoing status of investigations pending before government agencies. Advised client of regulatory changes, especially of safety features and emissions standards. Provided legal and agency research on issues affecting the auto industry. Attendance at Automobile News World Conference, Detroit, MI (1/12-15/92).

The Japan Automobile Tire Manufacturers Association (JATMA):

Registrant and/or staff compiled and analyzed import, domestic industry data, tariff classifications & other industry developments concerning tire products, tire safety, disposal and product liability laws; rendered general legal counseling on U.S. trade laws; monitored tire recycling legislation, congressional hearings, industry conferences and federal government actions. Prepared monthly and quarterly reports analyzing developments in the tire industry.

Japan Bearing Industrial Association (JBIA):

Registrant and/or staff provided legal advice and informational services; monitored trade and regulatory developments; rendered general legal advice, monitored congressional developments and compiled & analyzed trade and industry data on bearings and provided monthly statistical data from DOC.

Japan Export Metal Flatware Assn. & The Japan General Merchandise Exporters Assn.(JGMEA):

Registrant and/or staff provided legal counseling and informational services relating to general merchandise products and industries.

The Japan Pottery Exporters Association (JAPEX):

Registrant and/or staff provided informational services on ceramic ware & crystal, compiled and analyzed industry trade data on earthenware and chinaware; provided analysis and legal advice with respect to proposed FDA rules and state/federal laws.

The Japan Trade Center (JTC):

Registrant and/or staff provided legal and informational services on U.S. bicycle industry; monitored trade/industry data on the bicycle industry and reported on customs and tariff matters affecting bicycle and bicycle parts; provided legal research and analysis of government regulations pertaining to bicycles.

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## IV - FINANCIAL INFORMATION

## APPENDIX B

14(a) Receipts-Monies:

<u>Date:</u>	<u>From Whom:</u>	<u>Services:</u>	<u>Amount:</u>
2/92	EIAJ	Legal/Informational	\$ 80,000.00
11/91-4/92	Embassy of Japan	"	5,000.00
3/92	JAMA	"	196,000.00
1/92	JATMA	"	26,669.66
2/92	JBIA	"	22,440.82
4/92	JTC	"	24,000.00
1/92	Shipbuilders Assn. of Japan (Effective Period 6/4/91-7/31/91) "		<u>10,000.00</u>
			\$ 364,110.48 =====

DATE: \_\_\_\_\_ TO WHOM: \_\_\_\_\_

PURPOSE: \_\_\_\_\_ AMOUNT: \_\_\_\_\_

Electronic Industries Assn. of Japan (EIAJ):

10/25/91 - 4/24/92

American Express	Luncheons	220.00
Xerox, taxis, subway	Duplicating, travel	80.00
C&P, LDS, AT&T	Telephone, faxes	150.00
Federal Express, DHL	Delivery	125.00
Salaries of H.W. Tanaka, M. Tanaka, I. Graig, A. Cody, K. Hatfield, D. Hembrey, J. Davenport, M. Lynn, T. Mugavero, M. Brown, B. Middleton, P. O'Leary, J. Kenkel and secretarial overtime		<u>155,070.00</u>
		\$ 155,645.00

Embassy of Japan:

10/25/91 - 4/24/92

Salaries of H. Tanaka, M. Tanaka, I. Graig, M. Lynn, A. Cody, D. Hembrey, J. Davenport, K. Hatfield, J. Kenkel, T. Mugavero, M. Brown, B. Middleton, secretarial overtime	All State, Xerox, Taxis	300.00
	Delivery, duplicating, travel	<u>78,400.00</u>
		\$ 78,700.00

Fastener Institute of Japan (FIJ):

10/25/91 - 4/24/91

Salary of H. W. Tanaka	All State, LDS, Xerox	45.00
	Mead Data	50.00
	Duplicating, faxes, delivery	<u>520.00</u>
	Computer research	\$ 615.00

Japan Automobile Manufacturers Assn. (JAMA):

10/25/91 - 4/24/92

American Express	Hotel, airfare, meals	550.00 (To Attend Auto News World Conf. Detroit, MI 1/12-15/92 - Ann Cody)
Mead Data	Computer research	725.00
C&P, LDS, AT&T, Xerox	Duplicating, faxes, telephone	660.00
DHL/Messenger Express	Delivery	250.00
Taxi/subway	travel	60.00
Salaries of H. Tanaka, B. Middleton, M. Tanaka, P. O'Leary, T. Mugavero, I. Graig, K. Hatfield, A. Cody, J. Davenport, D. Hembrey, M. Brown, M. Lynn, secretarial overtime		<u>180,500.00</u>
		\$ 182,745.00

DATE: \_\_\_\_\_ TO WHOM: \_\_\_\_\_ PURPOSE: \_\_\_\_\_ AMOUNT: \_\_\_\_\_

The Japan Automobile Tire Manufacturers Assn. (JATMA):

10/25/91 - 4/24/92	LDS, C&P, AT&T, Xerox DHL/Federal Express	Duplicating, telephone, faxes Delivery	155.00 200.00 <u>17,150.00</u>
Salaries of H. Tanaka, J. Davenport, K. Hatfield, I. Graig, A. Cody, D. Hembrey			\$ 17,505.00

Japan Bearing Industrial Assn. (JBIA):

10/25/91 - 4/24/92	Pitney Bowes, Xerox, C&P, LDS, AT&T DHL/Messenger Express	Telephone, faxes, duplicating, postage Delivery	420.00 130.00 <u>4,700.00</u>
Salaries of H. Tanaka, M. Tanaka, P. O'Leary, J. Kenkel, B. Middleton, J. Davenport, A. Cody, M. Brown, secretarial overtime			\$ 5,250.00

Japan Export Metal Flatware Industry/Japan General Merchandise Assn. (JGMEA):

10/25/91 - 4/24/92	Salary of H.W. Tanaka		<u>75.00</u> \$ 75.00
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Japan Pottery Exporters Assn. (JAPEX):

10/25/91 - 4/24/92	Xerox, Pitney Bowes, taxis, subway	Duplicating, postage, travel	450.00 300.00 <u>\$ 750.00</u>
Salary of H. W. Tanaka			

Japan Trade Center (JTC):

10/25/91 - 4/24/92	Xerox, Pitney Bowes, C&P, LDS	Telephone, faxes, postage, duplicating	25.00 975.00 <u>\$ 1,000.00</u>
Salaries of H. Tanaka, J. Davenport, I. Graig, D. Hembrey, secretarial overtime			

Registrant: H. William Tanaka  
Registration#: 948

The following persons in your organization filed short form registration statements on the date indicated by each name. These short forms are still in an active status. Please review and update where appropriate.

Date Filed	Name	Current Role	Termination Date?
11/16/76	Patrick F. O'Leary	Attorney	
11/23/79	James C. Davenport	Economist	
8/05/81	B. Jenkins Middleton	Attorney	
5/16/84	Michele N. Tanaka	Attorney	
4/23/86	Mary Lynn	Legal Asst	2/5/92
5/29/87	Ian C. Graig	<i>POLITICAL ANALYST</i> <del>Legal Asst</del>	
9/15/87	Kathleen Hatfield	Legal Asst	5/6/92
2/12/88	John J. Kenkel	Attorney	
7/25/88	Michael J. Brown	Attorney	
8/09/89	Thomas C. Mugavero	Attorney	2/14/92
4/10/90	H. William Tanaka	"	
9/20/90	Ann E. Cody	<i>LEGISLATIVE ANALYST</i>	
9/18/91	Douglas C. Hembrey	" "	